ICME INTERNATIONAL COMMITTEE of MEDICAL JOURNAL EDITORS

# **ICMJE Form for Disclosure of Potential Conflicts of Interest**

#### Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

## 1. Identifying information.

## 2. The work under consideration for publication.

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This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

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Section 1. Identifyir	ng Information	
1. Given Name (First Name) Matthew	2. Surname (Last Name) Abinante	3. Date 31-January-2022
4. Are you the corresponding au	ithor? Yes 🖌 No	Corresponding Author's Name David Sullivan
5. Manuscript Title Early Outpatient COVID-19 Tr	eatment Trial with Convalescent P	lasma
6. Manuscript Identifying Numb 21-19657	er (if you know it)	

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No

Are there any relevant conflicts of interest? Yes

Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support <mark>?</mark>	Other?	Comments	
U.S. Department of Defense's (DOD) Joint Program Executive Office for Chemical, Biological, Radiological and Nuclear Defense (JPEO-CBRND), in collaboration with the Defense Health Agency (DHA) (contract number: W911QY2090012)				✓	Other Transaction Authority (OTA)	
National Institutes of Health (NIH) National Institute of Allergy and Infectious Diseases (NIAID) 3R01AI152078-01S1	$\checkmark$					
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Mental Wellness Foundation	$\checkmark$					
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HealthNetwork Foundation	$\checkmark$					
Octapharma	$\checkmark$					



Name of Institution/Company	Grant?	Personal Fees <b>?</b>	Non-Financial Support?	Other?	Comments	
Shear Family Foundation	$\checkmark$					
Division of Intramural Research NIAID NIH.	$\checkmark$					

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Intellectual Property -- Patents & Copyrights

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Dr. Abinante reports other from U.S. Department of Defense's (DOD) Joint Program Executive Office for Chemical, Biological, Radiological and Nuclear Defense (JPEO-CBRND), in collaboration with the Defense Health Agency (DHA) (contract number: W911QY2090012), grants from National Institutes of Health (NIH) National Institute of Allergy and Infectious Diseases (NIAID) 3R01AI152078-01S1, grants from NIH National Center for Advancing Translational Sciences U24TR001609 and UL1TR003098, grants from Bloomberg Philanthropies, grants from State of Maryland, grants from Mental Wellness Foundation, grants from Moriah Fund, grants from HealthNetwork Foundation, grants from Octapharma, grants from Shear Family Foundation, grants from Division of Intramural Research NIAID NIH., during the conduct of the study; .

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1. Given Name (First Name) Shweta	2. Surname (Last Name) Anjan	3. Date 01-February-2022
4. Are you the corresponding author?	Yes 🖌 No	Corresponding Author's Name David Sullivan
5. Manuscript Title Early Outpatient COVID-19 Treatment	Trial with Convalescent P	asma
6. Manuscript Identifying Number (if you 21-19657	know it)	

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Section 1.	Identifying Infor	mation	
1. Given Name (Fii Lawrence	rst Name)	2. Surname (Last Name) Appel	3. Date 30-January-2022
4. Are you the cor	responding author?	Yes 🖌 No	Corresponding Author's Name David Sullivan
5. Manuscript Title Early Outpatient		Trial with Convalescent P	asma
6. Manuscript Ider 21-19657	ntifying Number (if you l	know it)	

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Section 1. Identifying Inform	mation	
1. Given Name (First Name) Sheriza	2. Surname (Last Name) Baksh	3. Date 02-February-2022
4. Are you the corresponding author?	Yes 🖌 No	Corresponding Author's Name David Sullivan
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Section 1.	dentifying Inforr	mation	
1. Given Name (First Janis	Name)	2. Surname (Last Name) Blair	3. Date 30-January-2022
4. Are you the corres	ponding author?	Yes 🖌 No	Corresponding Author's Name David Sullivan
5. Manuscript Title Early Outpatient CC	)VID-19 Treatment	Trial with Convalescent Pl	asma
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No

Are there any relevant conflicts of interest? Yes

Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support <mark>?</mark>	Other?	Comments	
U.S. Department of Defense's (DOD) Joint Program Executive Office for Chemical, Biological, Radiological and Nuclear Defense (JPEO-CBRND), in collaboration with the Defense Health Agency (DHA) (contract number: W911QY2090012)					Other Transaction Authority (OTA)	
National Institutes of Health (NIH) National Institute of Allergy and Infectious Diseases (NIAID) 3R01AI152078-01S1	$\checkmark$					
NIH National Center for Advancing Translational Sciences U24TR001609 and UL1TR003098	$\checkmark$					
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HealthNetwork Foundation	$\checkmark$					
Octapharma	$\checkmark$					



Name of Institution/Company	Grant?	Personal Fees <b>?</b>	Non-Financial Support?	Other?	Comments	
Shear Family Foundation	$\checkmark$					
Division of Intramural Research NIAID NIH.	$\checkmark$					

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Are there any relevant conflicts of interest?  $\Box$  Yes  $\checkmark$  No

### Section 4.

Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes 🗸 No

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Section 1.	Identifying Infor	mation	
1. Given Name (Fi Evan	rst Name)	2. Surname (Last Name) Bloch	3. Date 30-January-2022
4. Are you the cor	responding author?	Yes 🖌 No	Corresponding Author's Name David Sullivan
5. Manuscript Title Early Outpatient		Trial with Convalescent P	asma
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Octapharma	$\checkmark$					



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Shear Family Foundation	$\checkmark$					
Division of Intramural Research NIAID NIH.	$\checkmark$					
National Heart Lung and Blood Institute (NHLBI)	$\checkmark$				Dr. Bloch's time is funded in part by NHLBI through grant 1K23HL151826.	

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Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments	
Abbott Laboratories		$\checkmark$			Received an honorarium for an invited educational presentation	
Terumo BCT		$\checkmark$			Received an honorarium for an invited educational presentation	
Grifols Diagnostic Solutions		$\checkmark$			Received an honorarium for an invited educational presentation	
California Institute for Regenerative Medicine		$\checkmark$			Advisor convalescent plasma program	

Section 4.

#### Intellectual Property -- Patents & Copyrights

-						1., Г	
Do νοι	i have any patents	, whether planned,	pending or issued,	broadly relevant to t	he work?	Yes	√ No



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Section 1.	Identifying Infor	mation	
1. Given Name (Fi Patrick	rst Name)	2. Surname (Last Name) Broderick	3. Date 02-February-2022
4. Are you the cor	responding author?	Yes 🖌 No	Corresponding Author's Name David Sullivan
5. Manuscript Title Early Outpatient		Trial with Convalescent P	asma
6. Manuscript Ider 21-19657	ntifying Number (if you l	know it)	

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Octapharma	$\checkmark$					



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Gilead Science	$\checkmark$			$\checkmark$	Unrestricted grant paid to University of California Regents Unrelated research topic Advisory Board on Hepatitis C related topics.	
Merck Sharp & Dohme	$\checkmark$				Unrestricted grant paid to to University of California Regents. Unrelated research topic	

#### Section 4.

Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?

**√** No

#### Section 5.

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Section 1. Identifying Infor	mation	
1. Given Name (First Name) Arturo	2. Surname (Last Name) Casadevall	3. Date 30-January-2022
4. Are you the corresponding author?	Yes 🖌 No	Corresponding Author's Name David Sullivan
5. Manuscript Title Early Outpatient COVID-19 Treatment	Trial with Convalescent P	lasma
6. Manuscript Identifying Number (if you 21-19657	know it)	

# Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

No

Are there any relevant conflicts of interest? Yes

Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support	Other?	Comments	
U.S. Department of Defense's (DOD) Joint Program Executive Office for Chemical, Biological, Radiological and Nuclear Defense (JPEO-CBRND), in collaboration with the Defense Health Agency (DHA) (contract number: W911QY2090012)				✓	Other Transaction Authority (OTA)	
National Institutes of Health (NIH) National Institute of Allergy and Infectious Diseases (NIAID) 3R01AI152078-01S1	$\checkmark$					
NIH National Center for Advancing Translational Sciences U24TR001609 and UL1TR003098	$\checkmark$					
Bloomberg Philanthropies	$\checkmark$					
State of Maryland	$\checkmark$					
Mental Wellness Foundation	$\checkmark$					
Moriah Fund	$\checkmark$					
HealthNetwork Foundation	$\checkmark$					
Octapharma	$\checkmark$					



Name of Institution/Company	Grant?	Personal Fees <b>?</b>	Non-Financial Support?	Other?	Comments	
Shear Family Foundation	$\checkmark$					
Division of Intramural Research NIAID NIH.	$\checkmark$					

# Section 3. Relevant financial activities outside the submitted work.

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Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees	Non-Financial Support <mark>?</mark>	Other?	Comments	
Sabtherapeutics				$\checkmark$	Dr. Casadevall serves on the Scientific Advisory Board of Sabtherapeutics, which is developing cow-derived human immunoglobulins for the treatment of COVID-19 and other infectious diseases.	

## Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? 🗌 Yes

## Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

Yes, the following relationships/conditions/circumstances are present (explain below):

✓ No other relationships/conditions/circumstances that present a potential conflict of interest

At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.

🖌 No



## Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Casadevall reports other from U.S. Department of Defense's (DOD) Joint Program Executive Office for Chemical, Biological, Radiological and Nuclear Defense (JPEO-CBRND), in collaboration with the Defense Health Agency (DHA) (contract number: W911QY2090012), grants from National Institutes of Health (NIH) National Institute of Allergy and Infectious Diseases (NIAID) 3R01AI152078-01S1, grants from NIH National Center for Advancing Translational Sciences U24TR001609 and UL1TR003098, grants from Bloomberg Philanthropies, grants from State of Maryland, grants from Mental Wellness Foundation, grants from Moriah Fund, grants from HealthNetwork Foundation, grants from Octapharma, grants from Shear Family Foundation, grants from Division of Intramural Research NIAID NIH., during the conduct of the study; other from Sabtherapeutics, outside the submitted work; .

#### **Evaluation and Feedback**



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Section 1.	Identifying Inform	mation	
1. Given Name (Firs Valerie	t Name)	2. Surname (Last Name) Cluzet	3. Date 01-February-2022
4. Are you the corre	esponding author?	Yes 🖌 No	Corresponding Author's Name David Sullivan
5. Manuscript Title Early Outpatient (	COVID-19 Treatment	Trial with Convalescent Pl	asma
6. Manuscript Ident 21-19657	tifying Number (if you k	know it)	

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No

Are there any relevant conflicts of interest? Yes

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Octapharma	$\checkmark$					



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Division of Intramural Research NIAID NIH.	$\checkmark$					

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Are there any relevant conflicts of interest?  $\Box$  Yes  $\checkmark$  No

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Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes 🗸 No

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Section 1.	Identifying Infor	mation	
1. Given Name (Fin MarieElena	rst Name)	2. Surname (Last Name) Cordisco	3. Date 31-January-2022
4. Are you the cor	responding author?	Yes 🖌 No	Corresponding Author's Name David Sullivan
5. Manuscript Title Early Outpatient		Trial with Convalescent P	lasma
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Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes 🗸 No

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Section 1.	Identifying Inform	nation		
1. Given Name (Firs Daniel	t Name)	2. Surname (Last Name) Cruser		Date 1-February-2022
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Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes 🗸 No

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Section 1. Identifying Infor	mation	
1. Given Name (First Name) Judith	2. Surname (Last Name) Currier	3. Date 30-January-2022
4. Are you the corresponding author?	Yes 🖌 No	Corresponding Author's Name David Sullivan
5. Manuscript Title Early Outpatient COVID-19 Treatment	t Trial with Convalescent P	lasma
6. Manuscript Identifying Number (if you 21-19657	know it)	

## Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

No

Are there any relevant conflicts of interest? Yes

Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support <mark>?</mark>	Other?	Comments	
U.S. Department of Defense's (DOD) Joint Program Executive Office for Chemical, Biological, Radiological and Nuclear Defense (JPEO-CBRND), in collaboration with the Defense Health Agency (DHA) (contract number: W911QY2090012)				✓	Other Transaction Authority (OTA)	
National Institutes of Health (NIH) National Institute of Allergy and Infectious Diseases (NIAID) 3R01AI152078-01S1	$\checkmark$					
NIH National Center for Advancing Translational Sciences U24TR001609 and UL1TR003098	$\checkmark$					
Bloomberg Philanthropies	$\checkmark$					
State of Maryland	$\checkmark$					
Mental Wellness Foundation	$\checkmark$					
Moriah Fund	$\checkmark$					
HealthNetwork Foundation	$\checkmark$					
Octapharma	$\checkmark$					



Name of Institution/Company	Grant?	Personal Fees <b>?</b>	Non-Financial Support?	Other?	Comments	
Shear Family Foundation	$\checkmark$					
Division of Intramural Research NIAID NIH.	$\checkmark$					

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#### Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees <b>?</b>	Non-Financial Support?	Other?	Comments	
Merck and Company		$\checkmark$			Consultant	
Resverlogix		$\checkmark$			Consultant	

#### Section 4.

#### **Intellectual Property -- Patents & Copyrights**

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes 🖌 No

## Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

Yes, the following relationships/conditions/circumstances are present (explain below):

✓ No other relationships/conditions/circumstances that present a potential conflict of interest



#### Section 6.

Disclosure Statement

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Section 1.	Identifying Infor	mation	
1. Given Name (Fin Stephan	rst Name)	2. Surname (Last Name) Ehrhardt	3. Date 01-February-2022
4. Are you the corresponding author?		Yes 🖌 No	Corresponding Author's Name David Sullivan
5. Manuscript Title Early Outpatient		Trial with Convalescent P	asma
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No

Are there any relevant conflicts of interest?  $\checkmark$  Yes

Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support <mark>?</mark>	Other?	Comments	
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Division of Intramural Research NIAID NIH.	$\checkmark$					

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Intellectual Property -- Patents & Copyrights

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Section 1.	Identifying Inform	mation	
1. Given Name (Fir Daniel	st Name)	2. Surname (Last Name) Ford	3. Date 31-January-2022
4. Are you the corresponding author?		Yes 🖌 No	Corresponding Author's Name David Sullivan
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No

Are there any relevant conflicts of interest?  $\checkmark$  Yes

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Intellectual Property -- Patents & Copyrights

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Section 1. Identifying Info	prmation		
1. Given Name (First Name) Donald	2. Surname (Last Name) Forthal		. Date 1-January-2022
I. Are you the corresponding author?	Yes 🖌 No	Corresponding Author's Name David Sullivan	2
. Manuscript Title arly Outpatient COVID-19 Treatme	nt Trial with Convalescent P	asma	

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Division of Intramural Research NIAID NIH.	$\checkmark$					

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Are there any relevant conflicts of interest?  $\Box$  Yes  $\checkmark$  No

#### Section 4.

Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes 🗸 No

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## Section 6. Disclosure Statement

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1. Given Name (First Name) Yuriko	2. Surname (Last Name) Fukuta	3. Date 31-January-2022
4. Are you the corresponding author?	Yes 🖌 No	Corresponding Author's Name David Sullivan
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Section 1. Identifying Infor	mation			
1. Given Name (First Name) Amy	2. Surname (Last Name) Gawad	3. Date 31-January-2022		
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UptoDate		$\checkmark$			author of outpatient COVID-19 guidelines	

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Octapharma	$\checkmark$					



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Division of Intramural Research NIAID NIH.	$\checkmark$					

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If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees	Non-Financial Support?	Other?	Comments	
Fresenius Kabi		$\checkmark$			Paid consultant	

#### Section 4.

#### **Intellectual Property -- Patents & Copyrights**

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes 🗸 No

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Pfizer	$\checkmark$				research grant to my institution	
Merck	$\checkmark$				research grant to my institution	
Centers for Disease Control and Prevention	$\checkmark$				research grant to my institution	

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Section 1.	Identifying Information							
1. Given Name (Fi Daniel	rst Name)	2. Surname (Last Name) Hanley	3. Date 01-February-2022					
4. Are you the corresponding author?		Yes 🖌 No	Corresponding Author's Name David Sullivan					
5. Manuscript Title Early Outpatient		t Trial with Convalescent P	lasma					
6. Manuscript Ide 21-19657	ntifying Number (if you	know it)						

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No

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HealthNetwork Foundation	$\checkmark$					
Octapharma	$\checkmark$					



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Division of Intramural Research NIAID NIH	$\checkmark$					

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Intellectual Property -- Patents & Copyrights

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Section 1. Identifying Infor	mation	
1. Given Name (First Name) Sonya	2. Surname (Last Name) Heath	3. Date 31-January-2022
4. Are you the corresponding author?	Yes 🖌 No	Corresponding Author's Name David Sullivan
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1. Given Name (First Name) Moises	2. Surname (Last Name) Huaman	3. Date 21-March-2022
4. Are you the corresponding author?	Yes 🖌 No	Corresponding Author's Name David Sullivan
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Section 1. Identifying Infor	mation	
1. Given Name (First Name) Nicky	2. Surname (Last Name) Karlen	3. Date 01-February-2022
4. Are you the corresponding author?	Yes 🖌 No	Corresponding Author's Name David Sullivan
5. Manuscript Title Early Outpatient COVID-19 Treatment	Trial with Convalescent P	asma
6. Manuscript Identifying Number (if you 21-19657	know it)	

# Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

No

Are there any relevant conflicts of interest? Yes

Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support <mark>?</mark>	Other?	Comments	
U.S. Department of Defense's (DOD) Joint Program Executive Office for Chemical, Biological, Radiological and Nuclear Defense (JPEO-CBRND), in collaboration with the Defense Health Agency (DHA) (contract number: W911QY2090012)				✓	Other Transaction Authority (OTA)	
National Institutes of Health (NIH) National Institute of Allergy and Infectious Diseases (NIAID) 3R01AI152078-01S1	$\checkmark$					
NIH National Center for Advancing Translational Sciences U24TR001609 and UL1TR003098	$\checkmark$					
Bloomberg Philanthropies	$\checkmark$					
State of Maryland	$\checkmark$					
Mental Wellness Foundation	$\checkmark$					
Moriah Fund	$\checkmark$					
HealthNetwork Foundation	$\checkmark$					
Octapharma	$\checkmark$					



Name of Institution/Company	Grant?	Personal Fees <b>?</b>	Non-Financial Support?	Other?	Comments	
Shear Family Foundation	$\checkmark$					
Division of Intramural Research NIAID NIH.	$\checkmark$					

# Section 3. Relevant financial activities outside the submitted work.

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Are there any relevant conflicts of interest?  $\Box$  Yes  $\checkmark$  No

### Section 4.

Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes 🗸 No

## Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

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Ms. Karlen reports other from U.S. Department of Defense's (DOD) Joint Program Executive Office for Chemical, Biological, Radiological and Nuclear Defense (JPEO-CBRND), in collaboration with the Defense Health Agency (DHA) (contract number: W911QY2090012), grants from National Institutes of Health (NIH) National Institute of Allergy and Infectious Diseases (NIAID) 3R01AI152078-01S1, grants from NIH National Center for Advancing Translational Sciences U24TR001609 and UL1TR003098, grants from Bloomberg Philanthropies, grants from State of Maryland, grants from Mental Wellness Foundation, grants from Moriah Fund, grants from HealthNetwork Foundation, grants from Octapharma, grants from Shear Family Foundation, grants from Division of Intramural Research NIAID NIH., during the conduct of the study; .

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Section 1. Identifying Infor	mation	
1. Given Name (First Name) Seble	2. Surname (Last Name) Kassaye	3. Date 31-January-2022
4. Are you the corresponding author?	Yes 🖌 No	Corresponding Author's Name David Sullivan
5. Manuscript Title Early Outpatient COVID-19 Treatment	Trial with Convalescent Pl	asma
6. Manuscript Identifying Number (if you 21-19657	know it)	

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No

Are there any relevant conflicts of interest? Yes

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Development of HIV-related educational materials for Integritas Communications Group.

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🖌 No



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Section 1. Identifying Infor	mation	
1. Given Name (First Name) Sabra	2. Surname (Last Name) Klein	3. Date 30-January-2022
4. Are you the corresponding author?	Yes 🖌 No	Corresponding Author's Name David Sullivan
5. Manuscript Title Early Outpatient COVID-19 Treatment	: Trial with Convalescent P	lasma
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Section 1.	Identifying Infor	mation		
1. Given Name (Fi Oliver	rst Name)	2. Surname (Last Name) Laeyendecker	3. Date 31-January-2022	
4. Are you the corresponding author?		Yes 🖌 No	Corresponding Author's Name David Sullivan	
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Section 1.	Identifying Inforr	nation	
1. Given Name (Fir Karen	st Name)	2. Surname (Last Name) Lane	3. Date 31-January-2022
4. Are you the corresponding author?		Yes 🖌 No	Corresponding Author's Name David Sullivan
5. Manuscript Title Early Outpatient		Trial with Convalescent P	asma
6. Manuscript Ider 21-19657	ntifying Number (if you k	now it)	

# Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

No

Are there any relevant conflicts of interest? Yes

Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support <mark>?</mark>	Other?	Comments	
U.S. Department of Defense's (DOD) Joint Program Executive Office for Chemical, Biological, Radiological and Nuclear Defense (JPEO-CBRND), in collaboration with the Defense Health Agency (DHA) (contract number: W911QY2090012)				✓	Other Transaction Authority (OTA)	
National Institutes of Health (NIH) National Institute of Allergy and Infectious Diseases (NIAID) 3R01AI152078-01S1	$\checkmark$					
NIH National Center for Advancing Translational Sciences U24TR001609 and UL1TR003098	$\checkmark$					
Bloomberg Philanthropies	$\checkmark$					
State of Maryland	$\checkmark$					
Mental Wellness Foundation	$\checkmark$					
Moriah Fund	$\checkmark$					
HealthNetwork Foundation	$\checkmark$					
Octapharma	$\checkmark$					



Name of Institution/Company	Grant?	Personal Fees <b>?</b>	Non-Financial Support?	Other?	Comments	
Shear Family Foundation	$\checkmark$					
Division of Intramural Research NIAID NIH.	$\checkmark$					

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Are there any relevant conflicts of interest?  $\Box$  Yes  $\checkmark$  No

### Section 4.

Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes 🗸 No

## Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

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#### Section 6.

Disclosure Statement

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Section 1. Identifying Inform	mation	
1. Given Name (First Name) Bryan	2. Surname (Last Name) Lau	3. Date 01-February-2022
4. Are you the corresponding author?	Yes 🖌 No	Corresponding Author's Name David Sullivan
5. Manuscript Title Early Outpatient COVID-19 Treatment	Trial with Convalescent P	lasma
6. Manuscript Identifying Number (if you 21-19657	know it)	

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Section 1.	Identifying Infor	nformation						
1. Given Name (First Name) Adam		2. Surname (Last Name) Levine	3. Date 30-January-2022					
4. Are you the cor	responding author?	Yes 🖌 No	Corresponding Author's Name David Sullivan					
5. Manuscript Title Early Outpatient		Trial with Convalescent Pl	asma					
6. Manuscript Ide 21-19657	ntifying Number (if you l	know it)						

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Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

Name of Institution/Company	Grant?	Personal Fees	Non-Financial Support <mark>?</mark>	Other?	Comments	
U.S. Department of Defense's (DOD) Joint Program Executive Office for Chemical, Biological, Radiological and Nuclear Defense (JPEO-CBRND), in collaboration with the Defense Health Agency (DHA) (contract number: W911QY2090012)				✓	Other Transaction Authority (OTA)	

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Are there any relevant conflicts of interest?  $\Box$  Yes  $\checkmark$  No



## Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes 🖌 No

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## Section 6. Disclosure Statement

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Dr. Levine reports other from U.S. Department of Defense's (DOD) Joint Program Executive Office for Chemical, Biological, Radiological and Nuclear Defense (JPEO-CBRND), in collaboration with the Defense Health Agency (DHA) (contract number: W911QY2090012), during the conduct of the study; .

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Section 1. Identifying Info	rmation	
1. Given Name (First Name) Christi	2. Surname (Last Name) Marshall	3. Date 31-January-2022
4. Are you the corresponding author?	Yes 🖌 No	Corresponding Author's Name David Sullivan
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🖌 No

Are there any relevant conflicts of interest?	Y	es
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Are there any relevant conflicts of interest?	Y	'es	$\checkmark$	No
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# Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?	Yes	🖌 No	
		•	



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Dr. Marshall has nothing to disclose.

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Section 1.	dentifying Inform	nation	
1. Given Name (First Nichol	Name)	2. Surname (Last Name) McBee	3. Date 31-January-2022
4. Are you the corres	ponding author?	Yes 🖌 No	Corresponding Author's Name David Sullivan
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Section 1. Identifying Info	rmation	
1. Given Name (First Name) Barry	2. Surname (Last Name) Meisenberg	3. Date 31-January-2022
4. Are you the corresponding author?	Yes 🗸 No	Corresponding Author's Name David Sullivan
5. Manuscript Title Early Outpatient COVID-19 Treatmer	nt Trial with Convalescent F	lasma
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No

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1. Given Name (First Name) Giselle	2. Surname (Last Name) Mosnaim	3. Date 31-January-2022
4. Are you the corresponding author?	Yes 🖌 No	Corresponding Author's Name David Sullivan
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If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees <b>?</b>	Non-Financial Support?	Other?	Comments	
Teva	$\checkmark$					
Sanofi Regeneron	$\checkmark$					
Astra Zeneca	$\checkmark$					
Alk Abello	$\checkmark$					
Genentech	$\checkmark$					
Propeller Health	$\checkmark$					
GlaxoSmithKline	$\checkmark$					
Novartis	$\checkmark$					

**Section 4.** 

#### Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?

Mosnaim

I √ No



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1. Given Name (First Name) Kevin	2. Surname (Last Name) Oei	3. Date 31-January-2022
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Section 1. Identifying Infor	mation	
1. Given Name (First Name) James	2. Surname (Last Name) Paxton	3. Date 30-January-2022
4. Are you the corresponding author?	Yes 🖌 No	Corresponding Author's Name David Sullivan
5. Manuscript Title Early Outpatient COVID-19 Treatment	Trial with Convalescent P	lasma
6. Manuscript ldentifying Number (if you 21-19657	know it)	

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No

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U.S. Department of Defense's (DOD) Joint Program Executive Office for Chemical, Biological, Radiological and Nuclear Defense (JPEO-CBRND), in collaboration with the Defense Health Agency (DHA) (contract number: W911QY2090012)					Other Transaction Authority (OTA)	
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Intellectual Property -- Patents & Copyrights

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1. Given Name (Fi Andrew	rst Name)	2. Surname (Last Name) Pekosz	3. Date 31-January-2022
4. Are you the cor	responding author?	Yes 🖌 No	Corresponding Author's Name David Sullivan
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Section 1. Identifying Info	ormation			
1. Given Name (First Name) Joann	2. Surname (Last Name) Petrini	3. Date 02-February-2022		
Are you the corresponding author? Yes 🖌 No		Corresponding Author's Name David Sullivan		
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1. Given Name (First Name) Jay	2. Surname (Last Name) Raval	3. Date 31-January-2022		
4. Are you the corresponding author? Yes 🖌 No		Corresponding Author's Name David Sullivan		
5. Manuscript Title Early Outpatient COVID-19 Treatment	t Trial with Convalescent P	lasma		
6. Manuscript Identifying Number (if you 21-19657	know it)			

# Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

No

Are there any relevant conflicts of interest? Yes

Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support <mark>?</mark>	Other?	Comments	
U.S. Department of Defense's (DOD) Joint Program Executive Office for Chemical, Biological, Radiological and Nuclear Defense (JPEO-CBRND), in collaboration with the Defense Health Agency (DHA) (contract number: W911QY2090012)				✓	Other Transaction Authority (OTA)	
National Institutes of Health (NIH) National Institute of Allergy and Infectious Diseases (NIAID) 3R01AI152078-01S1	$\checkmark$					
NIH National Center for Advancing Translational Sciences U24TR001609 and UL1TR003098	$\checkmark$					
Bloomberg Philanthropies	$\checkmark$					
State of Maryland	$\checkmark$					
Mental Wellness Foundation	$\checkmark$					
Moriah Fund	$\checkmark$					
HealthNetwork Foundation	$\checkmark$					
Octapharma	$\checkmark$					



Name of Institution/Company	Grant?	Personal Fees <b>?</b>	Non-Financial Support?	Other?	Comments	
Shear Family Foundation	$\checkmark$					
Division of Intramural Research NIAID NIH.	$\checkmark$					

# Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were **present during the 36 months prior to publication**.

Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees	Non-Financial Support?	Other?	Comments	
Sanofi Genzyme		$\checkmark$			Consultant, Speaker, Advisor	
Terumo BCT		$\checkmark$			Consultant, Speaker, Advisor	
American Society for Apheresis				$\checkmark$	Board of Directors	
Transfusion				$\checkmark$	Editorial board member	
Journal of Clinical Apheresis				$\checkmark$	Editorial board member	
Therapeutic Apheresis and Dialysis				$\checkmark$	Editorial board member	
Transfusion and Apheresis Science				$\checkmark$	Editorial board member	

Section 4.

#### Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?

✓ No



## Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

Yes, the following relationships/conditions/circumstances are present (explain below):

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## Section 6. Disclosure Statement

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Dr. Raval reports other from U.S. Department of Defense's (DOD) Joint Program Executive Office for Chemical, Biological, Radiological and Nuclear Defense (JPEO-CBRND), in collaboration with the Defense Health Agency (DHA) (contract number: W911QY2090012), grants from National Institutes of Health (NIH) National Institute of Allergy and Infectious Diseases (NIAID) 3R01AI152078-01S1, grants from NIH National Center for Advancing Translational Sciences U24TR001609 and UL1TR003098, grants from Bloomberg Philanthropies, grants from State of Maryland, grants from Mental Wellness Foundation, grants from Moriah Fund, grants from HealthNetwork Foundation, grants from Octapharma, grants from Shear Family Foundation, grants from Division of Intramural Research NIAID NIH., during the conduct of the study; personal fees from Sanofi Genzyme, personal fees from Terumo BCT, other from American Society for Apheresis, other from Transfusion, other from Journal of Clinical Apheresis, other from Therapeutic Apheresis and Dialysis, other from Transfusion and Apheresis Science, outside the submitted work; .

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Section 1. Identifying Infor	mation			
1. Given Name (First Name) Michael	2. Surname (Last Name) Roth	3. Date 01-February-2022		
4. Are you the corresponding author? Yes 🖌 No		Corresponding Author's Name David Sullivan		
5. Manuscript Title Early Outpatient COVID-19 Treatment	Trial with Convalescent P	lasma		
6. Manuscript Identifying Number (if you 21-19657	know it)			

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No

Are there any relevant conflicts of interest? Yes

Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support	Other?	Comments	
U.S. Department of Defense's (DOD) Joint Program Executive Office for Chemical, Biological, Radiological and Nuclear Defense (JPEO-CBRND), in collaboration with the Defense Health Agency (DHA) (contract number: W911QY2090012)				✓	Other Transaction Authority (OTA)	
National Institutes of Health (NIH) National Institute of Allergy and Infectious Diseases (NIAID) 3R01AI152078-01S1	$\checkmark$					
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Bloomberg Philanthropies	$\checkmark$					
State of Maryland	$\checkmark$					
Mental Wellness Foundation	$\checkmark$					
Moriah Fund	$\checkmark$					
HealthNetwork Foundation	$\checkmark$					
Octapharma	$\checkmark$					



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Shear Family Foundation	$\checkmark$					
Division of Intramural Research NIAID NIH.	$\checkmark$					

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Are there any relevant conflicts of interest?  $\Box$  Yes  $\checkmark$  No

#### Section 4.

Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes 🗸 No

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Section 1. Identify	ying Information			
1. Given Name (First Name) David	2. Surname (Last Name) Shade	3. Date 30-January-2022		
4. Are you the corresponding author? Yes 🗸 No		Corresponding Author's Name David Sullivan		
5. Manuscript Title Early Outpatient COVID-19	9 Treatment Trial with Convalescent F	Plasma		
6. Manuscript Identifying Nur 21-19657	mber (if you know it)			

# Section 2. The Work Under Consideration for Publication

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No

Are there any relevant conflicts of interest? Yes

Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support	Other?	Comments	
U.S. Department of Defense's (DOD) Joint Program Executive Office for Chemical, Biological, Radiological and Nuclear Defense (JPEO-CBRND), in collaboration with the Defense Health Agency (DHA) (contract number: W911QY2090012)				✓	Other Transaction Authority (OTA)	
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Moriah Fund	$\checkmark$					
HealthNetwork Foundation	$\checkmark$					
Octapharma	$\checkmark$					



Name of Institution/Company	Grant?	Personal Fees <b>?</b>	Non-Financial Support?	Other?	Comments	
Shear Family Foundation	$\checkmark$					
Division of Intramural Research NIAID NIH.	$\checkmark$					

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Are there any relevant conflicts of interest?  $\Box$  Yes  $\checkmark$  No

#### Section 4.

Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes 🗸 No

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Dr. Shade reports other from U.S. Department of Defense's (DOD) Joint Program Executive Office for Chemical, Biological, Radiological and Nuclear Defense (JPEO-CBRND), in collaboration with the Defense Health Agency (DHA) (contract number: W911QY2090012), grants from National Institutes of Health (NIH) National Institute of Allergy and Infectious Diseases (NIAID) 3R01AI152078-01S1, grants from NIH National Center for Advancing Translational Sciences U24TR001609 and UL1TR003098, grants from Bloomberg Philanthropies, grants from State of Maryland, grants from Mental Wellness Foundation, grants from Moriah Fund, grants from HealthNetwork Foundation, grants from Octapharma, grants from Shear Family Foundation, grants from Division of Intramural Research NIAID NIH., during the conduct of the study; .

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Section 1.	Identifying Infor	mation	
1. Given Name (Fi Aarthi G.	rst Name)	2. Surname (Last Name) Shenoy	3. Date 01-February-2022
4. Are you the cor	responding author?	Yes 🖌 No	Corresponding Author's Name David Sullivan
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Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes 🗸 No

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Dr. Shenoy reports other from U.S. Department of Defense's (DOD) Joint Program Executive Office for Chemical, Biological, Radiological and Nuclear Defense (JPEO-CBRND), in collaboration with the Defense Health Agency (DHA) (contract number: W911QY2090012), grants from National Institutes of Health (NIH) National Institute of Allergy and Infectious Diseases (NIAID) 3R01AI152078-01S1, grants from NIH National Center for Advancing Translational Sciences U24TR001609 and UL1TR003098, grants from Bloomberg Philanthropies, grants from State of Maryland, grants from Mental Wellness Foundation, grants from Moriah Fund, grants from HealthNetwork Foundation, grants from Octapharma, grants from Shear Family Foundation, grants from Division of Intramural Research NIAID NIH., during the conduct of the study; .

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Section 1.	Identifying Infor	mation	
1. Given Name (Fin Shmuel	rst Name)	2. Surname (Last Name) Shoham	3. Date 31-January-2022
4. Are you the cor	responding author?	Yes 🖌 No	Corresponding Author's Name David Sullivan
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6. Manuscript Ider 21-19657	ntifying Number (if you l	know it)	

# Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

No

Are there any relevant conflicts of interest? Yes

Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support <mark>?</mark>	Other?	Comments	
U.S. Department of Defense's (DOD) Joint Program Executive Office for Chemical, Biological, Radiological and Nuclear Defense (JPEO-CBRND), in collaboration with the Defense Health Agency (DHA) (contract number: W911QY2090012)					Other Transaction Authority	
National Institutes of Health (NIH) National Institute of Allergy and Infectious Diseases (NIAID) 3R01AI152078-01S1	$\checkmark$					
NIH National Center for Advancing Translational Sciences U24TR001609 and UL1TR003098	$\checkmark$					
Bloomberg Philanthropies	$\checkmark$					
State of Maryland	$\checkmark$					
Mental Wellness Foundation	$\checkmark$					
Moriah Fund	$\checkmark$					
HealthNetwork Foundation	$\checkmark$					
Octapharma	$\checkmark$					



Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support <sup>?</sup>	Other?	Comments	
Shear Family Foundation	$\checkmark$					
Division of Intramural Research NIAID NIH.	$\checkmark$					

# Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were **present during the 36 months prior to publication**.

Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees	Non-Financial Support?	Other?	Comments	
Ansun	$\checkmark$					
amplyx		$\checkmark$			advisory board member (past)	
Astellas	$\checkmark$					
Cidara	$\checkmark$					
F2G	$\checkmark$					
Merck	$\checkmark$					
Shire	$\checkmark$					
Shionogi	$\checkmark$					
Emergent Biosolutions	$\checkmark$					
Adamis		$\checkmark$			DSMB	
Adagio		$\checkmark$			advisory board member (past)	
Karyopharm		$\checkmark$			DSMB	
Intermountain Health		$\checkmark$			DSMB	
Celltrion		$\checkmark$			consultant (relationship not active	
Immunome		$\checkmark$		$\checkmark$	consultant and stock options (relatioship active)	



## Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes

## Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

Yes, the following relationships/conditions/circumstances are present (explain below):

✓ No other relationships/conditions/circumstances that present a potential conflict of interest

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## Section 6. Disclosure Statement

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Dr. Shoham reports other from U.S. Department of Defense's (DOD) Joint Program Executive Office for Chemical, Biological, Radiological and Nuclear Defense (JPEO-CBRND), in collaboration with the Defense Health Agency (DHA) (contract number: W911QY2090012), grants from National Institutes of Health (NIH) National Institute of Allergy and Infectious Diseases (NIAID) 3R01AI152078-01S1, grants from NIH National Center for Advancing Translational Sciences U24TR001609 and UL1TR003098, grants from Bloomberg Philanthropies, grants from State of Maryland, grants from Mental Wellness Foundation, grants from Moriah Fund, grants from HealthNetwork Foundation, grants from Octapharma, grants from Shear Family Foundation, grants from Division of Intramural Research NIAID NIH., during the conduct of the study; grants from Ansun, personal fees from amplyx, grants from Astellas, grants from Cidara, grants from F2G, grants from Merck, grants from Shire, grants from Shionogi, grants from Emergent Biosolutions, personal fees from Adamis, personal fees from Adagio, personal fees from Karyopharm, personal fees from Intermountain Health, personal fees from Celltrion, personal fees and other from Immunome, outside the submitted work; .

#### **Evaluation and Feedback**

Please visit <u>http://www.icmje.org/cgi-bin/feedback</u> to provide feedback on your experience with completing this form.

🖌 No



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Section 1. Identifying Inform	mation	
1. Given Name (First Name) Atika	2. Surname (Last Name) Singh	3. Date 31-January-2022
4. Are you the corresponding author?	Yes 🖌 No	Corresponding Author's Name David Sullivan
5. Manuscript Title Early Outpatient COVID-19 Treatment	Trial with Convalescent P	lasma
6. Manuscript Identifying Number (if you 21-19657	know it)	

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Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

No

Are there any relevant conflicts of interest? Yes

Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support <mark>?</mark>	Other?	Comments	
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Are there any relevant conflicts of interest?  $\Box$  Yes  $\checkmark$  No

#### Section 4.

Intellectual Property -- Patents & Copyrights

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Section 1.	Identifying Info	rmation	
1. Given Name (Fi Emily	rst Name)	2. Surname (Last Name) Spivak	3. Date 31-January-2022
4. Are you the corresponding author?		Yes 🖌 No	Corresponding Author's Name David Sullivan
5. Manuscript Titl Early Outpatient		t Trial with Convalescent P	lasma
6. Manuscript Ide 21-19657	ntifying Number (if you	know it)	

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🖌 No

Are there any relevant conflicts of interest?		Yes
---	--	-----

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Are there any relevant conflicts of interest?		Yes	$\checkmark$	No
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# Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? $\; [$	Yes	🖌 No	
---	-----	------	--



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## Section 6. Disclosure Statement

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Dr. Spivak has nothing to disclose.

#### **Evaluation and Feedback**

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Other: Anything not covered under the previous three boxes Pending: The patent has been filed but not issued Issued: The patent has been issued by the agency Licensed: The patent has been licensed to an entity, whether earning royalties or not Royalties: Funds are coming in to you or your institution due to your



Section 1. Identifying Info	rmation	
<ol> <li>Given Name (First Name) David</li> <li>Are you the corresponding author?</li> </ol>	2. Surname (Last Name) Sullivan ✓ Yes No	3. Date 30-January-2022
5. Manuscript Title Early Outpatient COVID-19 Treatmen	t Trial with Convalescent Plasma	

6. Manuscript Identifying Number (if you know it)

21-19657

# Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

No

Are there any relevant conflicts of interest?  $\checkmark$  Yes

Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support <mark>?</mark>	Other?	Comments	
U.S. Department of Defense's (DOD) Joint Program Executive Office for Chemical, Biological, Radiological and Nuclear Defense (JPEO-CBRND), in collaboration with the Defense Health Agency (DHA) (contract number: W911QY2090012)				✓	Other Transaction Authority	
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If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees <b>?</b>	Non-Financial Support?	Other?	Comments
AliquantumRx				$\checkmark$	Board member-AliquantumRx Founder and Board member with stock options (macrolide for malaria)
DSMB NIH				$\checkmark$	DSMB-2018 NIAID SMC/ISM Intramural
Masimo		$\checkmark$			Hemozoin based malaria diagnostic companies 2018
Hemex Health		$\checkmark$			Hemozoin based malaria diagnostic companies 2018
Legal consultant		$\checkmark$			Private legal malaria case 2018 and 2019
Royalties-Binax Inc/D/B/A Inverness Medical		$\checkmark$			plasmids for HRP aldolase for malaria diagnostic test
Patent issued				$\checkmark$	USP 9,642,865 May 9, 2017 New angiogenesis inhibitors
Patent issued				$\checkmark$	lssued-USP 9,568,471 February 14, 2017 Malaria Diagnosis in Urine
Patent issued				$\checkmark$	Issued-USP 7,270,948 September 18, 2007 Detection of malaria parasites by laser desorption mass spectrometry
Patent pending				$\checkmark$	Pending SALTS AND POLYMORPHS OF CETHROMYCIN FOR THE TREATMENT OF DISEASE Patent Application (Application #20210163522)



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Section 1.	Identifying Infor	mation	
1. Given Name (Fi Catherine	rst Name)	2. Surname (Last Name) Sutcliffe	3. Date 31-January-2022
4. Are you the cor	responding author?	Yes 🖌 No	Corresponding Author's Name David Sullivan
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No

Are there any relevant conflicts of interest? Yes

Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support <mark>?</mark>	Other?	Comments	
U.S. Department of Defense's (DOD) Joint Program Executive Office for Chemical, Biological, Radiological and Nuclear Defense (JPEO-CBRND), in collaboration with the Defense Health Agency (DHA) (contract number: W911QY2090012)				✓	Other Transaction Authority (OTA)	
National Institutes of Health (NIH) National Institute of Allergy and Infectious Diseases (NIAID) 3R01AI152078-01S1	$\checkmark$					
NIH National Center for Advancing Translational Sciences U24TR001609 and UL1TR003098	$\checkmark$					
Bloomberg Philanthropies	$\checkmark$					
State of Maryland	$\checkmark$					
Mental Wellness Foundation	$\checkmark$					
Moriah Fund	$\checkmark$					
HealthNetwork Foundation	$\checkmark$					
Octapharma	$\checkmark$					



Name of Institution/Company	Grant?	Personal Fees <b>?</b>	Non-Financial Support?	Other?	Comments	
Shear Family Foundation	$\checkmark$					
Division of Intramural Research NIAID NIH.	$\checkmark$					

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Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees	Non-Financial Support?	Other?	Comments	
Centers for Disease Control and Prevention	$\checkmark$				research grant to my institution	
Merck	$\checkmark$				research grant to my institution	
Pfizer	$\checkmark$				research grant to my institution	

## Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?

## Section 5. Relationships not covered above

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🖌 No



## Section 6. Disclosure Statement

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Dr. Sutcliffe reports other from U.S. Department of Defense's (DOD) Joint Program Executive Office for Chemical, Biological, Radiological and Nuclear Defense (JPEO-CBRND), in collaboration with the Defense Health Agency (DHA) (contract number: W911QY2090012), grants from National Institutes of Health (NIH) National Institute of Allergy and Infectious Diseases (NIAID) 3R01AI152078-01S1, grants from NIH National Center for Advancing Translational Sciences U24TR001609 and UL1TR003098, grants from Bloomberg Philanthropies, grants from State of Maryland, grants from Mental Wellness Foundation, grants from Moriah Fund, grants from HealthNetwork Foundation, grants from Octapharma, grants from Shear Family Foundation, grants from Division of Intramural Research NIAID NIH., during the conduct of the study; grants from Centers for Disease Control and Prevention, grants from Merck, grants from Pfizer, outside the submitted work; .

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#### Instructions

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**Royalties:** Funds are coming in to you or your institution due to your patent



Section 1. Identifying Infor	mation	
1. Given Name (First Name) Aaron	2. Surname (Last Name) Tobian	3. Date 30-January-2022
4. Are you the corresponding author?	Yes 🖌 No	Corresponding Author's Name David Sullivan
5. Manuscript Title Early Outpatient COVID-19 Treatment	: Trial with Convalescent P	lasma
6. Manuscript ldentifying Number (if you 21-19657	know it)	

# Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

No

Are there any relevant conflicts of interest? Yes

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

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Division of Intramural Research NIAID NIH.	$\checkmark$					

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Are there any relevant conflicts of interest?  $\Box$  Yes  $\checkmark$  No

#### Section 4.

Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes 🗸 No

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**Royalties:** Funds are coming in to you or your institution due to your patent



Section 1.	Identifying Infor	mation			
1. Given Name (Fi Anusha	rst Name)	2. Surname (Last Name) Yarava	3. Date 31-January-2022		
4. Are you the cor	Are you the corresponding author? Yes 🖌 No		Corresponding Author's Name David Sullivan		
5. Manuscript Title Early Outpatient		: Trial with Convalescent P	lasma		
6. Manuscript Idei 21-19657	ntifying Number (if you	know it)			

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No

Are there any relevant conflicts of interest? Yes

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Shear Family Foundation	$\checkmark$					
Division of Intramural Research NIAID NIH.	$\checkmark$					

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Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes 🗸 No

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Section 1. Identifying Inf	ormation	
1. Given Name (First Name) Martin	2. Surname (Last Name) Zand	3. Date 01-February-2022
4. Are you the corresponding author?	Yes 🖌 No	Corresponding Author's Name David Sullivan
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